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# Particle Track Reconstruction with Deep Learning

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Anonymous Author(s)

Affiliation

Address

email

## Abstract

1 Particle track reconstruction is a challenging pattern recognition task in high energy  
2 physics experiments such as those at the Large Hadron Collider. Traditional algo-  
3 rithmic solutions rely on hand-engineered features and metrics, do not parallelize  
4 easily, and scale poorly with detector occupancy. In this paper we present our work  
5 to identify and evaluate solutions based on modern machine learning techniques  
6 such as deep neural networks. Models have been developed which draw inspiration  
7 from computer-vision tasks to identify tracks and estimate track trajectory param-  
8 eters in image-like detector data. Additional models have been developed which  
9 can operate on a continuous distribution of spacepoint measurements to construct  
10 tracks in a structured way. We will evaluate these ideas on toy detector data and  
11 semi-realistic simulated tracking data and discuss their strengths and limitations  
12 for application in tracking applications.

## 13 1 Introduction

14 In high energy physics experiments such as ATLAS [1] and CMS [2] at the Large Hadron Collider [3]  
15 (LHC), a challenging but essential aspect of data processing is the measurement of charged particle  
16 trajectories in tracking detectors. Highly granular silicon-based sensors collect tens of thousands  
17 of position measurements (“spacepoints”) from thousands of particles in every proton-proton beam  
18 collision event, as illustrated in figure 1. Tracking algorithms partition these spacepoints into  
19 disjoint groups (“tracks”) and fit parametrized trajectories to extract particle kinematics and locations  
20 of production vertices. These results are combined with measurements from additional detector  
21 systems to construct a complete physical model of the particles in an event. Large datasets of these  
22 reconstructed events are then used in statistical analysis to test the fundamental laws of nature.

23 Traditional tracking algorithms have been used with great success in the experiments thus far but  
24 suffer from some limitations that motivate new ways of thinking. The algorithms are inherently serial,  
25 rely on linear dynamics models, and scale poorly with detector occupancy. In fact, in the expected  
26 conditions of data taking in 2025 (the so-called High Luminosity LHC), tracking algorithm code  
27 will consume a disproportionate amount of offline computing resources that cannot be supplied with  
28 expected computing budgets.

29 Machine learning methods such as deep neural networks have some promising characteristics that  
30 could prove effective for high energy physics tracking. Neural networks are known to be very good  
31 at finding patterns and modeling highly non-linear dependencies in data. They also involve highly  
32 regular computation that can run effectively on parallel architectures such as GPUs. While there  
33 exists some literature from the 1980s-1990s studying neural network algorithms for tracking [5,6,7],  
34 modern techniques in deep learning have yet to be studied extensively in this regime.

35 We have explored two categories of approaches for machine learning solutions, image-based and  
36 point-based models. In the image-based models, inspiration is drawn from computer vision techniques  
37 such as semantic segmentation and image captioning, whereby we treat the detector data as an image  
38 and apply convolutional and recurrent neural networks to detect tracks. In point-based models, we

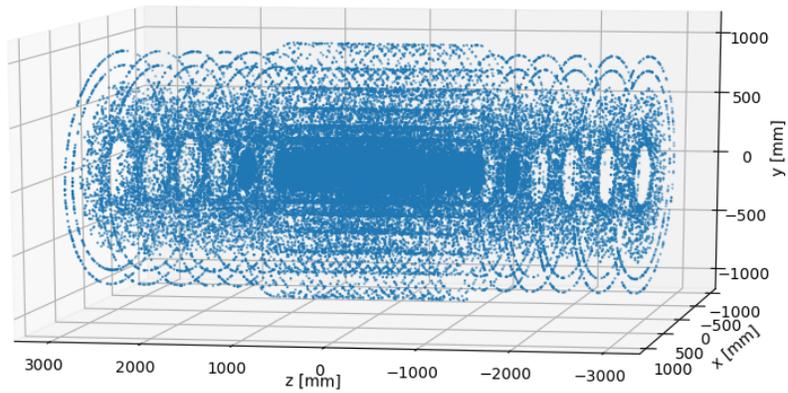


Figure 1: Distribution of particle spacepoints in a particle collision event in a generic simulated HL-LHC tracking detector.

39 use continuously distributed spacepoint measurements and structure them in a list or tree for learning  
 40 how to group them into track candidates.

## 41 2 Image-based approaches

42 We investigated the applicability of sequence-based and image-based models for the problem of  
 43 track-building on toy detector data in which spacepoints are binned in a 2D or 3D histogram [4]. An  
 44 LSTM model was developed which reads the layers of the detector as a sequence of pixel arrays and  
 45 emits a prediction for the correct location of a target track amidst background spacepoints. A similar  
 46 model using convolutions was developed which processes the entire detector image and classifies  
 47 pixels belonging to the target track. Several variations on these models were studied with toy data  
 48 and semi-realistic simulated track data under varying numbers of background tracks, summarized in  
 49 figure 2. The models show good performance on toy datasets and promising results on semi-realistic  
 50 data that suggest neural networks are effective at recognizing particle track patterns in detector data.

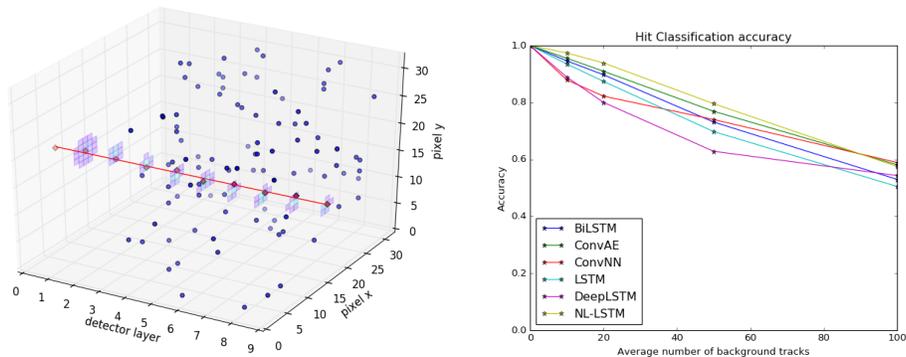


Figure 2: On the left is an example 3D toy data input with a target track shown as the red connected points and an LSTM model prediction shown as colored surfaces. On the right is the spacepoint classification accuracy of a variety of LSTM and convolutional models shown for varying numbers of background tracks.

## 51 3 Point-based approaches

52 The discrete models explored thus far map nicely onto well-studied problems in computer vision and  
 53 sequence modeling. However, they face difficulties when scaling up to the realistic complexity of

54 LHC data, suffering from high dimensionality and sparsity. This motivates development of models  
 55 that properly utilize the structure of the data as points localized on detector layers. These points can  
 56 be structured as sequences, trees, or graphs for neural networks to learn representations on.

57 The first point-based approach utilizes a recurrent neural network as an iterative filter similar to a  
 58 Kalman Filter. The model is trained to read a sequence of points and predict the position of the point  
 59 on the next detector layer. It can be used to build tracks by selecting the closest spacepoint to the  
 60 prediction or by implementing a combinatorial tree-search algorithm which considers plausible points  
 61 at every layer and searches until a complete track is found. The architecture used here is an LSTM  
 62 plus fully connected linear layer. An example trajectory and predictions from an ACTS simulated  
 63 datasets are shown in figure 3 and the prediction errors are shown in figure 4.

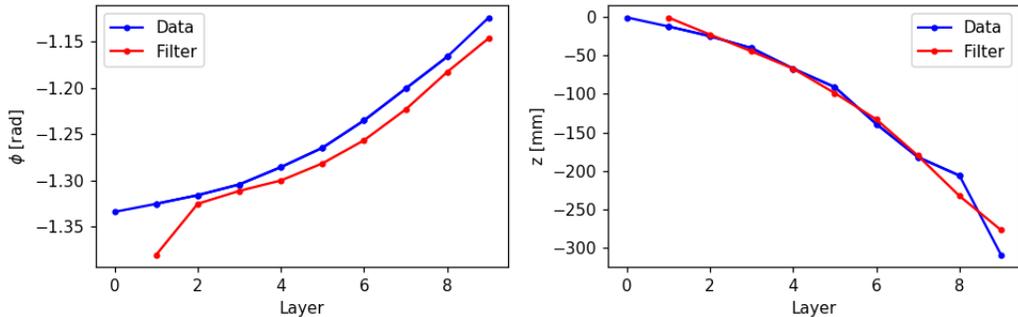


Figure 3: Example track measurements and RNN filter predictions in the  $\phi$  (azimuth) and  $z$  coordinates as a function of detector layer.

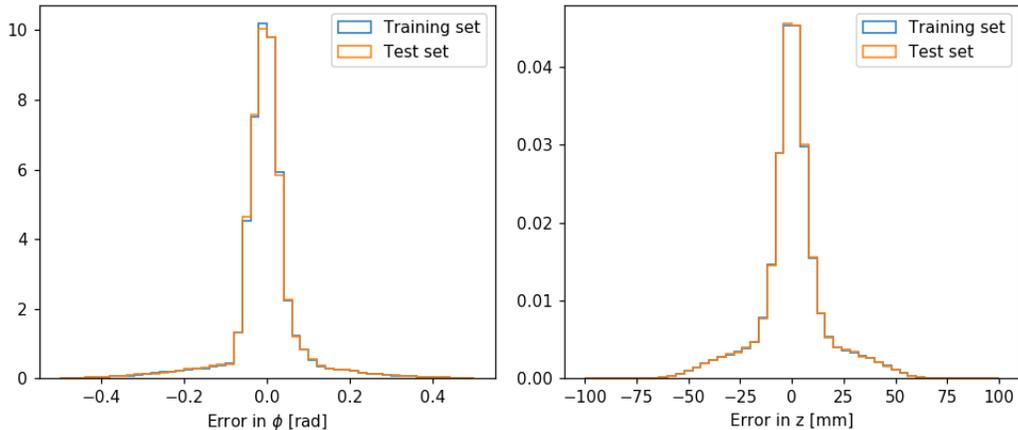


Figure 4: Error in the RNN filter predictions on both training and test datasets.

64 Another point-based method arranges all of the spacepoints of the detector in a sequence sorted  
 65 according to the cylindrical coordinates and feeds them into a recurrent network model which  
 66 outputs for every spacepoint a probability assignment vector of track classes. The target track  
 67 classes are similarly sorted according to coordinates. The architecture used has three layers of  
 68 bi-directional GRU units followed by a fully-connected layer and softmax activations to normalize  
 69 the probability predictions for every spacepoint. Figure 6 shows the assignment accuracy of the  
 70 model and its dependence on the detector occupancy with 3D toy cylindrical data. While the model  
 71 performs well with low occupancy, there is seemingly room for improvement as the accuracy degrades  
 72 with increasing multiplicity. Still, this study demonstrates that such a model can learn to arrange  
 73 spacepoints into appropriately sorted candidates under particular conditions. If such a model does not  
 74 scale to a full event occupancy it may still be powerful in smaller sections of a detector.



Figure 5: The spacepoint sequence model takes as input the full set of spacepoint measurements in the detector and outputs a track probability assignment matrix.

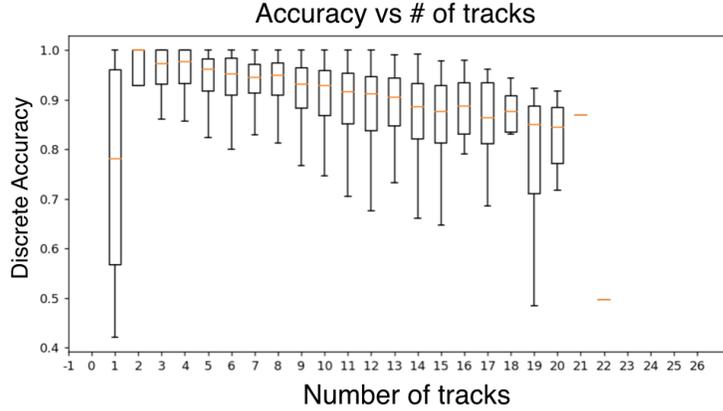


Figure 6: Accuracy of the spacepoint sequence model as the number of tracks is increased.

## 75 Conclusion

76 A variety of deep learning approaches for the problem of particle track reconstruction at high energy  
 77 physics experiments have been studied. Both image-based and point-based approaches show promise  
 78 in this problem. The point-based approaches seem to be the most suitable for scaling to full HL-  
 79 LHC data conditions because they exploit the structure of the data while avoiding the sparsity and  
 80 dimensionality of the image-based approaches.

81 Future work in this area will involve careful evaluation of these methods and comparison with  
 82 traditional Kalman Filter solutions, as well as further explorations into models that exploit the  
 83 structure of the data such as graph neural networks.

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